

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
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Complete if Known

Application Number	09/754,775
Filing Date	January 4, 2001
First Named Inventor	Grainger, David
Group Art Unit	1617
Examiner Name	Criares, T.J.

Sheet 1 of 2

Attorney Docket No: 00295.009US3

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US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
✓	5,411,988	05/02/1995	Bockow et al.	514	560	10/27/1993
	5,445,941	08/29/1995	Yang	435	6	06/21/1993
	5,446,070	08/29/1995	Mantelle	514	772.6	08/27/1993
	5,496,851	03/05/1996	Grinnell	514	443	04/14/1995
	5,605,700	02/25/1997	DeGregorio et al.	424	448	10/31/1994
✓	5,652,259	07/29/1997	May	514	422	05/09/1996
✓	6,410,587	06/25/2002	Grainger et al.	514	445	05/05/2000

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
✓	EP 0 377 526	07/11/1990	Abdel-Monem et al.	C07F	1/08	
✓	EP 0 675 103	10/04/1995	Horrobin et al.	C07C	69/612	
✓	EP 0 684 259	11/29/1995	Koyama et al.	C07K	5/09	
✓	WO 96/21442	07/18/1996	Korsaard et al.	A61K	31/40	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
✓		"Schering/Orion Fareston Anti-Estrogen for Treatment of Metastatic Breast Cancer 'Similar' to Tamoxifen, FDA Oncologic Committee Says in Approval Vote", F-D-C Reports, 15-16 (1995).	
		HARDCastle et al., "Homologs of Idoxifene: Variation of Estrogen Receptor Binding and Calmodulin Antagonism with Chain Length", <u>J. Med. Chem.</u> , <u>39</u> , 999-1004 (1996).	
		HAYES et al., "Randomized Comparison of Tamoxifen and Two Separate Doses of Toremifene in Postmenopausal Patients with Metastatic Breast Cancer", <u>Journal of Clinical Oncology</u> , <u>13</u> , 2556-2566 (1995).	
		JONES et al., "Increased Susceptibility to Metal Catalysed Oxidation of Diabetic Lens β_L Crystallin: Possible Protection by Dietary Supplementation with Acetylsalicyclic Acid", <u>Exp. Eye Res.</u> , <u>57</u> , 783-790 (1993).	
✓		LOSER et al., "In Vivo and in Vitro Antiestrogenic Action of 3-Hydroxytamoxifen, Tamoxifen and 4-Hydroxytamoxifen", <u>Eur. J. Cancer Clin. Oncol.</u> , <u>21</u> , 985-990 (1985).	

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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (In CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
JK		MOORTHY et al., "Tamoxifen Metabolic Activation: Comparison of DNA Adducts Formed by Microsomal and Chemical Activation of Tamoxifen and 4-Hydroxytamoxifen with DNA Adducts Formed in Vivo", <u>Cancer Research</u> , <u>56</u> , 53-57 (1996).
		MURPHY et al., "Differential Effects of Tamoxifen and Analogs with Nonbasic Side Chains on Cell Proliferation <i>in Vitro</i> ", <u>Endocrinology</u> , <u>116</u> , 1071-1078 (1985).
		MURPHY et al., "Structure-Activity Relationships of Nonisomerizable Derivatives of Tamoxifen: Importance of Hydroxyl Group and Side Chain Positioning for Biological Activity", <u>Molecular Pharmacology</u> , <u>39</u> , 421-428 (1991).
Y		SARGENT et al., "Induction of Hepatic Aneuploidy <i>in Vivo</i> by Tamoxifen, Toremifene and Idoxifene in Female Sprague-Dawley Rats", <u>Carcinogenesis</u> , <u>17</u> , 1051-1056 (1996).

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6/30/03

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